



2024 Kaiser Permanente Hospital Staffing Committee (HSC) Charter

*This HSC Charter template is revisited and modified as deemed necessary by the Hospital Staffing Committee.*

<p>Date published: June 27, 2024          Charter review dates: June 19, 2024 and June 25, 2024</p>			
<p>Next review due: June 2025</p>			
<p>Direct Care Nursing and Nursing Support Staff:</p> <p><i>Selected direct care members are all RNs</i></p>	<p>Emergency Department</p> <p>Inpatient</p> <p>Pre-op/PACU</p> <p>OR</p> <p>Interventional Radiology</p> <p>Radiology/Mammography</p> <p>Radiation Oncology</p>	<p>Administration:</p>	<p>Emergency Department</p> <p>Inpatient</p> <p>Pre-op/PACU</p> <p>OR</p> <p>Interventional Radiology</p> <p>Radiology/Mammography</p> <p>Radiation Oncology</p>
<ul style="list-style-type: none"> <li>•The HSC members collaboratively develop/implement the HSC charter. The charter is updated annually or more often as deemed necessary by the committee. The DOH and L&amp;I provide technical assistance to the HSC on implementation of charter requirements.</li> <li>•Retaliation, intimidation, or otherwise adverse action against any individual performing duties or responsibilities in connection with the HSC or any employee, patient, or other individual who notifies the HSC or the hospital administration of concerns related to nurse staffing is not permitted.</li> </ul>			
<p><b>COMMITTEE (HSC) STRUCTURE, STATEMENT OF PURPOSE, MEMBERSHIP</b></p>			
<p><b>Section 1 HSC Purpose</b></p> <p><b>Purpose</b></p> <p>This hospital staffing committee (HSC) is established by Kaiser Permanente to convene direct care nursing and nursing support staff and hospital administration to participate in a joint process regarding decisions about direct care nurse staffing practices to promote quality patient care, safety for patients and staff, and greater retention of nursing staff. The committee uses pertinent organizational and other data for consideration in developing the Hospital Staffing Plan and analyzing nurse staffing.</p>			
<p><b>Section 2 HSC Responsibilities</b></p> <p><b>Scope</b></p> <p>The primary responsibilities of the HSC are:</p> <ol style="list-style-type: none"> <li>1. Develop and oversee the annual patient care unit and shift-based hospital staffing plan (HSP) for nursing staff, including registered nurses, licensed practical nurses, certified nursing assistants, and unlicensed assistive nursing personnel providing direct patient care based on the needs of patients.</li> <li>2. Review and evaluate the effectiveness of the staffing plan semi-annually against patient needs and known evidence based staffing information, including identified factors considered in staffing plan development and nurse-sensitive quality indicators collected by the hospital.</li> <li>3. Review, assess, and respond to staffing variations, concerns, or complaints presented to the committee.</li> </ol> <p>Hospital departments/units that require a *staffing plan: Emergency Department, Inpatient, Pre-op/PACU, OR, Interventional Radiology, Radiology/Mammography and Radiation Oncology.</p> <p>*The staffing plan includes acute care hospital areas (licensed under RCW 70.41) and state hospitals (as defined in RCW 72.23), where RNs, LPNs, CNAs and UAPs provide patient care</p>			
<p><b>Section 3 HSC Membership</b></p> <p><b>Membership and Selection</b></p> <p>The HSC consists of 14 voting members comprised of 7 direct care nursing staff and 7 from hospital administration.</p> <p>At least 50 percent of the voting committee members are nursing and nursing support staff who are nonsupervisory/nonmanagerial, currently providing direct patient care.</p> <p>&gt;The selection of HSC nursing staff members is according to labor processes.</p> <ul style="list-style-type: none"> <li>• Fifty percent of the total HSC voting members are from hospital administration, patient care unit directors or managers or their designees. Membership shall also include Chief Financial Officer and Chief Nursing Officer.</li> <li>• HSC administration members are selected by HSC administration nomination.</li> </ul>			

- If a member needs to be excused, requests for an excused absence are communicated to the co-chairs. Failure to request an excused absence will result in attendance recorded as “absent” in the meeting minutes.
- Replacement will be in accordance with selection processes.
- It is the expectation of the Hospital Staffing Committee that all members will participate actively, including reading required materials in advance of the meeting as assigned, coming prepared to meetings, and engaging in respectful dialogue as professional committee members.
- For staff who would like to resign from the Safe Staffing Committee will need to give at least a 3-week notice to Union Representative and the committee Co-Chairs; and find a replacement to represent his/her department.
- Committee members may delegate voting rights to their proxy attendees

\*Refer to information included in the collective bargaining agreement if applicable.

#### Co-Chairs

The HSC is co-chaired by one direct patient care nursing or nursing support staff representative and one representative from hospital administration.

>The nursing staff co-chair is selected by vote of their peers (if there is no collective bargaining representative at the facility.)

\*Refer to information included in the collective bargaining agreement if applicable.

>The administrative co-chair is selected by the hospital administration.

>If an HSC co-chair or member is unable to fulfill the duties of their role, a new individual is selected using the process outlined above.

#### Other attendees

The following job classes will be represented on the HSC as nonvoting patient care staff members: registered nurses, licensed practical nurses, certified nursing assistants, unlicensed assistive nursing personnel, and administration.

>Interested non-members who are unable to attend a meeting are encouraged to share their input with an HSC member who may represent their interests during the meeting.

> Committee co-chairs may limit HSC attendance to committee members for all or a portion of meetings as deemed appropriate by the co-chairs.

### **COMMITTEE (HSC) ROLES AND RESPONSIBILITIES**

#### **Section 4 HSC Roles and Responsibilities**

##### **Co-chairs (or designee)**

HSC co-chairs serve for a period of at least 1 year but no more than 2 years, Co-chairs duties include, but are not limited to:

- Schedule HSC meetings to optimize attendance. Ensure HSC member notification of accurate meeting date, time, and location.
- Provide new HSC member orientation and ongoing training to members.
- Track meeting attendance of members. Ensure adequate quorum for each meeting and address non-attendance (as specified by charter).
- Develop the agenda for each meeting with input from the HSC members.
- Maintain complete and accurate committee documentation, including but not limited to meeting minutes, complaint review log, annual staffing plan, staffing plan updates, and actions taken. Comply with meeting documentation retention, minimum of 3 years.
- Facilitate review of factors to be considered in the development of the staffing plan.
- Facilitate development and semi-annual review of the HSP. Present the annual staffing plan and any semi-annual adjustments to the CEO for review and approval. Ensure timely submission of the plan to the DOH following HSC and CEO approval.
- Facilitate respectful and productive discussions and moderate as needed.
- Organize review of staffing complaints and ensure adherence to the complaint management process (specified in the charter) to facilitate the best use of time during the HSC.

##### **Hospital Staffing Committee Members**

HSC committee member responsibilities include, but are not limited to:

- Complete new member orientation and participate in on-going education as recommended by committee co-chairs.
- Attend committee meetings consistently.
- Notify committee co-chairs if unable to attend a HSC as specified by charter.
- For direct care staff, notify direct supervisor if HSC meetings are scheduled during a scheduled shift as outlined in the charter so that coverage can be arranged.
- Participate actively in committee meetings, including reading required materials in advance of the meeting as assigned, coming prepared for meetings, and engaging in dialogue.
- Remain open-minded and solution-focused and earnestly engage in collaborative/cooperative problem-solving process.
- Model solution-focused communication both in committee meetings and when discussing staffing concerns with peers.
- Serve as a committee ambassador to gather input from peers and share with the HSC to inform decisions and assist peers in understanding the process for developing staffing plans and reviewing complaints.
- Encourage peers to effectively communicate staffing concerns through the process established by the committee to best facilitate collaborative problem-solving.
- Communicate urgent staffing concerns that arise between meetings with unit-based leadership and committee co-chairs.

### **HSC MEETING MANAGEMENT**

#### **Section 5 HSC Meetings, Management, and Attendance**

### **Meeting Schedules and Notification**

The HSC meets monthly, or more often if needed, to achieve objectives of the committee in compliance with RCW 70.41.420. Meeting dates and times are set by the committee co-chairs with input from committee members. Committee members are notified of meeting dates and times at least 30-days in advance of regular meetings.

> Meeting participation by HSC members is scheduled work time and compensated at the appropriate rate of pay. Members are relieved of all other work duties during meetings. Whenever possible, meetings are scheduled as part of members' normal full-time equivalent hours.

> It is understood that meeting schedules may require members to attend on their scheduled day off. In this case, staff may be given equivalent time off during another scheduled shift or are compensated at the appropriate rate of pay.

> Staffing relief is provided (when necessary) to ensure committee members are relieved of their duties to attend meetings. Members are responsible for notifying the HSC co-chairs if they are scheduled to work when a committee meeting is scheduled.

> The HSC member works with their supervisor to arrange coverage to attend the committee meeting.

### **Contingency Staffing**

In the event of an unforeseeable emergent circumstance lasting for 15 days or more, the hospital incident command will provide a report to the hospital staffing committee co-chairs within 30 days including an assessment of the staffing needs arising from the unforeseeable emergent circumstance and the hospital's plan to address the identified staffing needs.

### **HSC Member Orientation**

Newly selected staffing committee members receive basic orientation, current applicable hospital staffing laws, committee structure and function, and member duties. Initial orientation is provided by committee co-chairs with ongoing education provided to all members as needed. Completion of new member orientation is a condition of committee membership.

### **Quorum**

Quorum is the minimum acceptable number of voting HSC members required to make the proceedings of the meeting valid. Establishing a quorum ensures sufficient representation at meetings before changes can be proposed or adopted. Quorum is met when at least 50 percent of committee members are present, with equal representation of voting direct care nursing staff and administration.

> A quorum is established before the committee takes a vote on all voting matters, including staffing plan approval or revision.

> A quorum is preferred for review of staffing complaints, though co-chairs may elect to move forward with presence of fewer than 50 percent of voting members for purposes of timely processing of complaints.

> Attendance is taken at the beginning of each HSC meeting.

- Members unable to attend a meeting notify co-chairs via email prior to the meeting to allow for adjustments to maintain the quorum.

- HSC voting members are identified at the beginning of each meeting so that voting is undertaken with an equal number of direct care nursing staff and hospital administration members.

> If an HSC member is unable to attend, and no proxy is identified, the number of voting members is adjusted so that there is an equal number from direct care nursing staff and administration.

### **Attendance and Participation**

HSC members are expected to attend at least 80 percent of meetings held each year. Failure to meet attendance expectations may result in removal from the committee. If a member is unable to attend a meeting, co-chairs are notified via email in advance of the meeting. HSC member replacement is in accordance with the selection processes.

> It is the expectation of the HSC that all members participate actively, including reading required materials in advance of the meeting as assigned and coming prepared to meetings.

### **Communication and Consensus**

The HSC strives to resolve issues through collaboration.

Consensus is the primary decision-making model when a quorum is met and is used for approval of the annual staffing plan, changes to a staffing plan, classification of complaints, and other committee decisions. Should an issue need to be voted upon by the HSC, the action must be approved by a majority vote of a duly appointed HSC with an equal number of direct care nursing staff and administration.

Consensus is reached if there is a 50 percent plus one vote of a duly constituted HSC (with an equal number of voting representatives from direct patient care nursing staff and from administration).

### **Agenda**

Meeting agendas are developed and agreed upon by the HSC co-chairs prior to each meeting and disseminated to HSC members (with meeting documentation) at least 1 business day in advance of the upcoming HSC meeting. HSC members may request items to be added to the agenda either before or during the meeting. Non-member employees may request that an HSC member include an item on the agenda.

Items added to the agenda during a meeting will be addressed as time allows and moved to the next meeting agenda if there is inadequate time.

HSC standing agenda items are as follows:

1. Call to order/attendance.
2. Approval of documentation from previous meeting.
3. Agenda review (opportunity for additions).
4. Charter approval (annually or more often as needed).
5. Committee member education (annually and as needed).
6. Old business (review prior assignments, unresolved discussions, and agenda items rolled over from previous meeting).
8. Quality data report (quarterly)/HR report

- 9. Proposed unit staffing plan changes (if any).
- 10. Hospital staffing plan review (including factors considered in development of the HSP – semi-annually).
- 15. Adjournment.

**Documentation and Retention**

Committee co-chairs designate a scribe to take notes during each HSC meeting. Meeting documentation (approved by co-chairs with input from HSC members) is saved for documentation and review in HSC Microsoft Teams folder.

Meeting documentation includes, (but is not limited to):

- HSC meeting attendance and identification of voting members present.
- Approval of previous meeting documentation.
- The outcome of any votes taken during the meeting.
- Topics discussed during the meeting with action items and member assignment(s).
- Review/disposition/action taken on staffing complaints reviewed during each HSC meeting. Written documents containing confidential information are not removed from the meeting or shared with individuals who are not members of the HSC. All committee documentation, including meeting documentation and staffing complaint tracking logs are retained for a minimum of three years and consistent with the hospitals’ document retention policies

**HSC STAFFING PLAN DATA, DEVELOPMENT AND APPROVAL**

**Section 6 HSC Information/Data Review**

The HSC is responsible for the development and oversight of the staffing plan for provision of daily nurse staffing needs for the identified areas.  
>The committee will review the effectiveness of each patient care unit nurse staffing plan semiannually-

Factors to be considered in the development of the staffing plan include, but are not limited to:

- Census, including total numbers of patients on the unit on each shift and activity such as patient discharges, admissions, and transfers.
- Patient acuity level, intensity of care needs, and the type of care to be delivered on each shift.
- Skill mix of staff and FTE mix of current staff, including full-time, part-time, per diem, travel/contract/local agency/float pool.
- Anticipated staff absences, (i.e., vacation, planned leave, sabbatical).
- Level of experience, specialty certification, and training of nursing and patient care staff providing care.
- The need for specialized or intensive equipment.
- Availability and ease of access of resources, equipment, and supplies.
- The architecture/geography of the patient-care unit, including but not limited to placement of patient rooms, treatment areas, nursing stations, medication preparation areas, and equipment.
- Staffing guidelines adopted or published by national nursing professional associations, specialty nursing organizations, and other health professional organizations.
- Availability of other personnel and patient-care staff supporting nursing services on the unit, (e.g., Respiratory Therapy, PT/OT, etc.).
- Measures to optimize available staff, (e.g., current/alternative staffing models of care, workflow optimization, etc.)
- Compliance with the terms of an applicable collective bargaining agreement, if any, and relevant state and federal laws and rules, including those regarding meal and rest breaks and use of overtime and on-call shifts.
- Semiannual review of the staffing plan against patient needs.
- Known evidence-based staffing information, including the quality indicators collected by the hospital.
- Review, assessment, and response to staffing variations or complaints presented to the committee.
- Hospital finances and resources as well as a defined budget cycle.

**Section 7 HSC Information/Data Review**

The HSC reviews relevant data outlined below to assess the effectiveness of unit-based staffing plans and financial performance.

<b>Data/Metrics</b>	<b>Frequency of Review</b>
Staffing Plan Compliance reports	Monthly
Missed meal & rest break reports for nursing staff	Quarterly
Human Resources Report, including but not limited to: <ul style="list-style-type: none"> <li>• Turnover &amp; vacancy rates by nursing staff job class &amp; patient care unit (monthly)</li> <li>• Nursing staff new hire turnover rates during the first year of employment (monthly)</li> <li>• Hiring trends and hospital workforce development plans (quarterly)</li> <li>• Staff engagement/experience data (twice a year)</li> </ul>	Quarterly
Hospital-wide and department-specific quality indicators, including but not limited to: <ul style="list-style-type: none"> <li>• Key quality indicators as identified by the committee: <ul style="list-style-type: none"> <li>• Quality metrics and adverse event data where staffing may have been a factor</li> <li>• Staffing agency utilization</li> <li>• “Staffing Advocacy” or other staffing complaint/concern data</li> <li>• Additional quality metrics as data is available</li> </ul> </li> </ul>	Monthly

**Data Validation**

Staffing Plan Compliance Report. The HSC co-chairs conduct a monthly review of the staffing plan compliance report. The standard form includes a checkbox for either HSC co-chair to indicate their belief that the validity of the report should be investigated by the WA DOH.

### **Section 8 HSC Staffing Plan Development, Review, and Approval**

Upon review of *factors to be considered in development of a staffing plan* and quality metrics, the HSC develops and votes on a proposed staffing plan. The HSC voting members approve the proposed staffing plan when a majority (50 percent plus one) vote of the HSC is in favor of the plan.

The committee-approved staffing plan proposal is provided to the hospital Chief Executive Officer (CEO) for review.

>Due annually by July 1<sup>st</sup> for the following year and any time a staffing plan adjustment is requested and approved by the committee.

Upon receiving a staffing plan proposal from the HSC, the CEO or designee reviews the proposal and provides written feedback to the committee. The written feedback must include, but is not limited to the following:

- Elements of the proposed staffing plan the CEO requests to be changed.
- Elements that could cause concern regarding financial feasibility, temporary or permanent closure of units, or patient care risk.
- A status report on implementation of the staffing plan including nurse-sensitive quality indicators, patient surveys, recruitment/retention efforts, and success over past six months in filling open positions for employees covered by the staffing plan.

The committee reviews and considers any feedback from the CEO, revises the staffing plan if applicable, and approves the new draft staffing plan by majority vote (50 percent plus one) before submitting the revised staffing plan to the CEO for approval.

>If the revised staffing plan proposal is not accepted by the CEO and adopted upon second review, the CEO documents rationale for this decision.

If the HSC is unable to agree on a staffing plan proposal by majority vote or the CEO does not accept and adopt the proposed staffing plan, the most recent of the following staffing plans remains in effect: a) the staffing plan in effect January 1, 2023, or b) the staffing plan last approved by a 50 percent plus one vote of a duly constituted HSC and adopted by the hospital until a new proposal can be agreed upon.

The CEO's written report is retained with HSC documentation as outlined in *Section 5 Documentation and Retention*.

## **HSC COMPLAINT MANAGEMENT**

### **Section 9 HSC Complaint Review**

Staffing concerns are addressed using the following process:

#### **Step 1: Timely Communication**

Staffing concerns are addressed (in real-time) with the immediate supervisor using chain of command.

#### **Step 2: Immediate Intervention**

Staffing concerns are discussed with the shift lead on duty, who is responsible for staffing assignments during the shift. The staff member and supervisor work together to evaluate the immediate clinical situation, evaluate patient and staff conditions, and explore potential solutions. When a variance from the staffing plan is identified or clinical circumstances warrant additional staff to accommodate patient care needs, the shift lead or immediate supervisor determines the appropriate reasonable efforts to resolve the situation using available resources.

Reasonable Efforts: the employer exhausts and documents all of the following but is unable to obtain staffing coverage:

- Seeks individuals to work additional time from all available qualified staff who are working.
- Contacts qualified employees who have made themselves available to work additional time.
- Seeks the use of per diem staff.
- When practical, seeks personnel from a contracted temporary agency when such staffing is permitted by law or an applicable collective bargaining agreement, and when the employer regularly uses a contracted temporary agency.

When the shift lead has exhausted all available resources and determines that there is immediate risk to patient and/or staff safety, the shift lead contacts the next level supervisor as (outlined in the hospital chain of command policy) for assistance in resolving the concern.

If the concern cannot be resolved after escalating to senior leadership, or the shift lead or supervisor determines that no immediate risk to patient and/or staff safety exists, the immediate shift lead or supervisor documents the following to aid in ongoing review of the concern:

- Precipitating circumstances – such as an unforeseen emergent circumstance as defined below, unusually high number of sick calls or unexpected influx of patients.
- All efforts to obtain additional staff.
- Other measures taken to ensure patient and staff safety. And-
- Rationale for shift-based staffing adjustments based on immediate circumstances.

If the staffing concern is a result of an unforeseen emergent circumstance, the immediate shift lead or supervisor documents those circumstances for HSC review. Unforeseen emergent circumstances are defined as:

- Any unforeseen declared national, state, or municipal emergency.
- When a hospital disaster plan is activated.
- Any unforeseen disaster or other catastrophic event that substantially affects or increases the need for health care services.
- When a hospital is diverting patients to another hospital or hospitals for treatment.

#### **Step 3: Staffing Concern/ (Staffing Advocacy Form)**

When a staff member has discussed their staffing concern with the shift lead/immediate supervisor and is not satisfied with the outcome or solution, the staff member initiates a Staffing Advocacy Form.

**PHI is not included in the staffing concern report.**

The purpose of reporting a staffing concern is to escalate unresolved concerns to the manager and HSC for review. Ideally, the reporting staff member completes the report prior to the end of the shift in which the concern occurred. The HSC aims to resolve complaints within 90 days of receipt by the co-chairs, or longer with majority approval of the HSC.

If a concern is resolved during the shift by activating the standard chain of command, a Staffing Advocacy Form may or may not be completed at the discretion of the staff member. Concerns resolved during the shift are classified as resolved and closed upon staffing committee review. A staffing concern report may be submitted to the committee if there is a recurring pattern, even if the immediate concern is resolved. Multiple reports submitted for the same occurrence will be reviewed for context and to ensure all information is considered but will be counted as a single occurrence for documentation purposes.

#### **Step 4: Routing of Staffing Concerns**

The shift lead/immediate supervisor, staffing committee co-chairs, and the department manager are notified immediately that a report has been initiated. Delayed or incomplete reports that are missing pertinent information may delay the review process. Efforts to obtain necessary information include, but not be limited to:

- Contacting the staff member who submitted the report if known.
- Contacting the shift lead/immediate supervisor on the shift in which the concern occurred.
- Contacting other staff members working the shift in which the concern occurred.

A report may be dismissed by the committee due to insufficient information to investigate the concern.

The HSC reviews all written reports submitted to the committee regardless of the format used to submit the report. The use of a reporting method other than the process outlined above may cause a delay in HSC co-chairs receiving the report. Committee co-chairs (or designees) log the date each report is received and will proceed with the standard review process.

#### **Department/Unit Level Review and Action Plan**

Upon receiving a staffing concern, the department manager initiates a department level review.

Identifies trends and factors that contributed to staffing variances, facilitates problem solving at the department level, and implements and evaluates corrective interventions, as appropriate. The department manager evaluates the effectiveness of interventions with input from staff and makes a recommendation to the HSC regarding classification and future corrective actions.

#### **Presentation to the Hospital Staffing Committee**

Whenever possible, the staff member and department manager present the concern to the HSC together, along with any corrective action plans, and further recommendations. Prior to a concern being presented to HSC for review, the committee co-chairs (or designee) will notify the staff member who submitted the concern that their concern is scheduled for HSC review and arrange for the staff member and their labor representative (if requested) to attend the meeting if the staff member wishes to do so. If the staff member declines to attend the meeting, the department manager or designee presents their recommendations to the committee.

Presentations to the HSC use the **SBAR** format to facilitate clear communication.

**Situation** – Explain the staffing concern or variation.

**Background** – Explain contributing factors, and any identified root cause(s).

**Action & Assessment** – Corrective action taken at the department level and evaluation of effectiveness of attempted solutions.

**Recommendation** – Provide other potential solutions and the recommended classification of the complaint.

#### **HSC Complaint Classification**

After receiving the department report, the HSC determines classification of each staffing concern and whether additional action is needed to resolve the concern.

If a complaint is not classified as dismissed or resolved when presented to the HSC, the committee identifies potential solutions and develops an action plan. The committee makes every effort to resolve concerns within 90 days of HSC co-chairs receiving a concern. The HSC extends the review period longer than 90 days with approval from the majority (50 percent plus one) of the committee. Any decision to extend the review period will be recorded by the committee co-chairs on the complaint tracking log.

#### **Documentation**

**Protected health information (PHI) is not included in HSC documentation.**

The following information for each staffing concern report is logged on the Staffing Concern Tracker:

- Date the concern was received by the committee.
- Information from the immediate supervisor and/or department manager review including:
  - >Precipitating circumstances including unforeseen emergent circumstances if applicable.
  - >All efforts to obtain staff, including exhausting reasonable efforts as defined.
  - >Other measures taken to ensure patient and staff safety.
  - >Rationale for shift-based staffing adjustments based on immediate circumstances.
- Initial, contingent, and final disposition.
- Corrective action taken, if necessary.
- Date resolved (within 90 days of receipt or longer with majority approval).

Closed-Loop Communication The outcome of each complaint review will be communicated to the staff member who initiated the concern in writing via (paper letter mailed to employee's home, email, etc.)